



# YOUNG GAMBLERS EDUCATION TRUST (YGAM)

## Malpractice Policy

# Young Gamblers Education Trust - Malpractice Policy

## Introduction

This policy sets out to define the procedures to be followed in the event of any dispute or allegation regarding staff malpractice in the assessment of internally and externally marked courses or qualifications.

## Examples of Malpractice

Attempted or actual malpractice activity will not be tolerated. The following are examples of malpractice by staff with regards to portfolio-based qualifications. This list is not exhaustive:

- Tampering with learners work prior to external moderation/verification
- Assisting learners with the production of work outside of the awarding body guidance
- Fabricating assessment and/or internal verification records or authentication statements

## Staff Malpractice Procedure

Investigations into allegations will be coordinated by YGAM Head of Quality Assurance, who will ensure the initial investigation is carried out within ten working days. The person responsible

for coordinating the investigation will depend on the qualification being investigated. The investigation will involve establishing the full facts and circumstances of any alleged malpractice. It should not be assumed that because an allegation has been made, it is true. Where appropriate, the staff member concerned and any potential witnesses will be interviewed and their version of events recorded on paper.

The member of staff will be:

- informed in writing of the allegation made against him or her
- informed what evidence there is to support the allegation
- informed of the possible consequences, should malpractice be proven
- given the opportunity to consider their response to the allegations
- given the opportunity to submit a written statement
- given the opportunity to seek advice (as necessary) and to provide a supplementary statement (if required)

- informed of the applicable appeals procedure, should a decision be made against him/her
- informed of the possibility that information relating to a serious case of malpractice will be shared with the relevant awarding body and may be shared with other awarding bodies, the regulators Ofqual, the police and/or professional bodies.

If work is submitted for moderation/verification or for marking which is not the learners own work, the awarding body may not be able to give that candidate a result.

## Staff Malpractice Sanctions

Where a member of staff is found guilty of malpractice, the YGAM Head of Quality Assurance may impose the following sanctions:

- **Written warning:** Issue the member of staff with a written warning stating that if the offence is repeated within a set period of time, further specified sanctions will be applied

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- **Training:** Require the member of staff, as a condition of future involvement in both internal and external assessments to undertake specific training or mentoring, within a particular period of time, including a review process at the end of the training
- **Special conditions:** Impose special conditions on the future involvement in assessments by the member of staff
- **Suspension:** Bar the member of staff in all involvement in the administration of assessments for a set period of time
- **Dismissal:** Should the degree of malpractice be deemed gross professional misconduct, the member of staff could face dismissal from his/her post

## Appeals

The member of staff may appeal against sanctions imposed on them. Appeals will be conducted in line with YGAM's Appeals Policy.

## Learners Malpractice Policy

This policy sets out to define the procedures to be followed in the event of any dispute or allegation regarding candidate malpractice in the assessment of internally marked qualifications or courses.

## Examples of Malpractice

Attempted or actual malpractice activity will not be tolerated. The following are examples of malpractice by candidates with regards to portfolio-based qualifications. This list is not exhaustive:

- Plagiarism: the copying and passing of as the learners own work, the whole or part of another person's work
- Collusion: working collaboratively with other learners to produce work that is submitted as the candidate's only
- Failing to abide by the instructions of an assessor – This may refer to the use of resources which the candidate has been specifically told not to use

- The alteration of any results document

If a YGAM trainer suspects a learner of malpractice, the learner will be informed and the allegations will be explained. The learner will have the opportunity to give their side of the story before any final decision is made. If the learner accepts that malpractice has occurred, he/she will be given the opportunity to repeat the assignment. If found guilty of malpractice following an investigation, the YGAM trainer may decide to re-mark previous assignments and these could also be rejected if similar concerns are identified.

## Appeals

In the event that a malpractice decision is made, which the learner feels is unfair, the learner has the right to appeal in line the Appeals Policy.

## Policy version control:

**Date policy written:** May 2017

**Written by:** Head of Quality-Assurance

**Consulted with trustees:** June 2017

**Amended and adopted by the Board of Trustees:** August 2017

**Next review:** September 2018 (normally one year from when document is adopted by the board of Trustees)

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